

防范非法证券期货宣传月活动——资本市场重大历史事件：中
国集体诉讼第一案



4 16! " # \$ % & ' () * + , - . / 0 1 2 3 4 5 6 7 8 9 :

; < = > 16! ? @) 6 A B C D E " F ! " G H I J K L M = N G H
I O P = Q R S T U G O = Q V W \$ X Y Z [5 6 \] ^ _ ` G H I)
a b " c d e f 0 1 2 g h] ^ _ `) 3 4 i ; < = > j k l . / 0
1 2 3 4 m n o p q 7 i

* r s t u v w x y z] ^ _ ` . / O 1 2 3 4 { | } ~ | { 1
E " 2020 5 " * r s] ^ _ ` f e
%& " B u 2 f L u i
] ^ _ ` B 2016 2018 3 t t
P 300 " 7 " " . / "
s " " %& G H I Q "
9 G H I) " H q %&] i G H I J K L M
%& " W \$ G H I a b " e f O 1 2 g h] ^ _ `
O 1 2 3 4 i * r s 1 E " j G H I J K L M g
3 4 e r " J G H I i
S 6 " * r s j h >) " .
/ O 1 2 3 4 L " . / O 1 2 3 4 e
i

广州 中院 适用 程序
审理 虚假陈述 任 案

; <=>BCDE" 2020 12 31! " ; <=>\$p9
[11\ C 3] ^_` [22\ C*
+ y , - 67i

11\ C f O12" d O1
t 34d cdh q734) GHI " O
1234i 2021 2 10! " ; <=> o kl O12
34mnopq7" 9 2 i 2021 3 26! " >?
9 O1234 ~BC i

2021 3 30! " C [11\ GHI = * r
s ; = s P T)
" ; <=>cd h; = s P
T fq7 C" d C
[C y i ; <%=> C h
C) cd i

2021 4 8! " GO=QUW\$9XYZ [56\ 2) . /
" ; <=>cd ef O12gh34i ; <=>j kl . / O1
234mnopq7i

R7 q W

<http://www.gzcourt.gov.cn/fygg/ck11/2021/04/16171929622.html> V

; < = > " 7) 2 2017 4 20!R V
2018 10 15! R V B 2018
10 15! % t] ^ _ ` R Uf ST] ^ " * + O
600518V" q7 t 34d) GHI " t
2 >u op* +%& ?) 7)
5) u
) i

; < = > 1 E" 2) GHI gh . /
O1234" qBC R 2021 5 16! V
! > 34i) GHI 3"
f . / O1234) Ci

帮助受损中小
得到公平、高效 赔偿

* r s u v w x y 2 " = 3 4 O
1 2 H " G H I J K L M O 1 2)
G H I " G J L M 5 0 \) G H I . /
. / O 1 2 3 4 m n g h 3 4 " G H I
E h E i

x y 2 " H q % & * + , - . / O 1 2 3 4 "
= P > u H q % & f
i t % &
s p t * +)
" y L) " f
H q % & . / t) J t
\$) = N G H I B) i

P " 3 4 * + % & G H I
) " O 1 2 O 1 2 ? 3 4 i (" =
A % & G H I 1.8 " 3 4 j G H I) q
3 4 " t \$ I S) 3 [{ | i]
^ _ ` 3 4 7 j f = H q % & " t
? P [H q % & f i

=* 9 " 8GHI JKLMj . /O1234 e
" ; =NGHI B) i " *
r sj h >) "
. /O1234 L " Hq%& JKG
HI f h y
) " t t) Hq%
&i

财务造假始末

预谋、组织、长期、系统财务造假

] ^ _ ` P " Hq%&] i * r s
" 2016 2018 "] ^ _ ` t B 2
[? " H
887 " 275 " 39 i * r s
] ^ _ ` 7e %& " j] ^ _ `
u2 f Lui
2019 4 30! "] ^ _ ` 6! " ? @ 9 2018 # 2019 6\$
2018 # % o &[20 B Ci

20 BC=" 6 | f u s ' ()) BC
* 9B 2017 # =) s (+ , , "

2017 # = " 2017 # =) H 299.44
" - ` 88.98 " - ` q 76.62 i

. " / B ? { O r 1 2 " UB P 1 p
v [3 { " u i

ef] ^ _ `) o LM"; = s P 4 2019 5
* r s . 7 i

2019 5 17! " * r s #] ^ _ ` 7 " 2018 5" *
r s ! r 1 ? "] ^ _ ` P # C 6 3 " [

" * r s . 7 i ! 7 "] ^ _ ` ? @ u B
/ 8 E 9 B : ;) E B C i

2019 6 28! " S T] ^ 2018 s " B
< = " B > ? ? = 6 @
) { | " j A B C * r s " D E ! t 8 i

2020 5 14! " * r s ? @ F U "] ^ _ `
7 e % & "] ^ _ ` y . @

C" 60 H I " 21 \ y 2 90 H 10 H
[I " 6 \ y 2 J 10 KL * + % & i u
= LM f opmn = i " * r s
j] ^ _ ` u 2 f Lui

* r s c " Hq % &] ?) M"
- q) % & N i 6 @ % O ` A"
P [P GHI) f " u = LM QRSy T
UV ` " WXHq % &] ? i " * r s j YKJ Z
[" I \ I] ^) Ry " _ ` I y
~ a [y " P [f b c i

2021 4 16 ! " ST] ^ ? @ S d ` e C " 2020 f 244.8
-299.2 " f 148.5 -178.2 i q ` e Cd
" B g H h f x " " B j 2020
C % 8 E i

f B C i ? @ " ST] ^ B C z 9 { O 2 j
q uk) p i B k l m)
n " B j q uk) p oo " p
\) " p ` e q r) i
8 s = * + #